

FISH & RICHARDSON P.C.

Frederick P. Fish
1855-1930

W.K. Richardson
1859-1951

14023 U.S. PTO
09/23/03

September 23, 2003

Attorney Docket No.: 14250-016001

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

4350 La Jolla Village Drive
Suite 500
San Diego, California
92122

Telephone
858 678-5070

Facsimile
858 678-5099

Web Site
www.fr.com

17497 U.S. PTO
10/669676
09/23/03

Presented for filing is a new patent application claiming priority from a provisional patent application of:

Applicant: ROMAN C. GUTIERREZ, THOMAS R. VANZANDT,
CHRISTOPHER I. WALKER, EDOUARD G.H. SCHMIDTLIN AND
ROBERT J. CALVET

Title: FIBER OPTIC COLLIMATOR AND COLLIMATOR ARRAY

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	9
Claims	3
Abstract	1
Declaration	3
Applicant is attempting to obtain the missing signatures of inventors who are no longer employed by the Applicant.	
Drawings (formal)	2

Enclosures:

- Small entity statement. This application is entitled to small entity status. See 37 CFR 1.27.
- Request for Nonpublication, 1 page.
- Postcard.

Under 35 USC §119(e)(1), this application claims the benefit of prior U.S. provisional application 60/413,979, filed September 25, 2002.

Basic filing fee	
Total claims in excess of 20 times \$9	\$375
Independent claims in excess of 3 times \$42	\$0
Fee for multiple dependent claims	\$42
Total filing fee:	\$0
	\$417

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV 348187807 US

Date of Deposit September 23, 2003

FR
BOSTON
DALLAS
DELAWARE
NEW YORK
SAN DIEGO
SILICON VALLEY
TWIN CITIES
WASHINGTON, DC

FISH & RICHARDSON P.C.

Commissioner for Patents
September 23, 2003
Page 2

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please direct all correspondence to the following:

20985

PTO Customer Number

Respectfully submitted,



Alexander C. Chen
Reg. No. 45,591
Enclosures
ACC/cia
10332156.doc

/BY
ALEX CHEN
REG. NO. 45,591

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor Gutierrez et al.

Title Fiber Optic Collimator and Collimator Array

Atty Docket Number 14250-016001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 23, 2003

Date



Signature

Alexander C. Chen

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**